

Attorney's File No: 38184-0026US

Applicants: Allan E. Alcorn, Michael Barnett, Louis D. Giacalone, Jr. and Adam E. Levinthal

Serial Number: 08/981,882 Filed: December 29, 1998

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9(f) AND 1.27(c) - SMALL BUSINESS CONCERN)**

I am:

- ☐ the owner of the small business concern identified below;  
☒ an official of the small business concern empowered to act on behalf of the concern identified below;

Name of Concern: Silicon Gaming, Inc.

Address of Concern: 2800 West Bayshore Highway  
Palo Alto, CA 94303

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.318, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled "**Electronic Casino Gaming System With Improved Play Capacity, Authentication and Security**" by Allan E. Alcorn, Michael Barnett, Louis D. Giacalone, Jr. and Adam E. Levinthal, and described in the specification described in Serial No. 08/981,882 filed on December 29, 1998.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

\*NOTE: Separate Verified Statements are required from each named person, concern, or organization having rights to the invention averring to their status as small entities (37 CFR 1.27)

NAME: \_\_\_\_\_  
ADDRESS: \_\_\_\_\_

( ) INDIVIDUAL      ( ) SMALL BUSINESS      ( ) NONPROFIT ORGANIZATION

I acknowledge my duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the

earliest of the Issue Fee or any Maintenance Fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful, false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this Verified Statement is directed.

Name of Person Signing: Jeffrey Friedberg  
Title: Vice President, Engineering  
Address of Person Signing: Silicon Gaming, Inc.  
2800 West Bayshore Highway  
Palo Alto, CA 94303

Signature



Date: 2/26/98

# DECLARATION AND POWER OF ATTORNEY

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **"Electronic Casino Gaming System With Improved Play Capacity, Authentication and Security"**,

the specification of which

(check one) \_\_\_\_\_ is attached hereto.

X was filed on December 29, 1998 as Serial Number 08/981, 882.

I hereby state that I have reviewed and understand the content of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Claimed

PCT/US96/10463

WIPO

17 June 1996

Yes

(Number)

(Country)

(Day/Month/Year Filed)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

08/925,991

09/09/97

pending

(Application Serial No.)

(Filing Date)

(Status-patented, pending, abandoned)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Status-patented, pending, abandoned)

08/981,882  
 PCT/US96/10463  
 WIPO  
 17 June 1996  
 Yes  
 08/925,991  
 09/09/97  
 pending  
 Different inventors related

**Power of Attorney:** I hereby appoint the following patent attorneys and/or patent agent(s) with full power of appointment, substitution and revocation to prosecute this application, to make alterations and amendments thereto, to receive the patent, and to transact all business connected therewith in the U.S. Patent and Trademark Office and in all foreign patent offices in which corresponding applications for patent are filed.

(7)  
 CLAUDE A.S. HAMRICK, Reg. 22,586  
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San Jose, California 95113

- I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full Name of Sole or First Inventor: 1-00

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Citizenship:

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Inventor's Signature:

Allan E Alcorn

Date:

3/3/98

Full Name of Second Inventor: 2-W

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Citizenship:

United States

Inventor's Signature:

Michael Barnett

Date:

2/26/98

Declaration and Power of Attorney  
 (69864)

Over

Full Name of Third Inventor:

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Inventor's Signature:



Date: 2/27/98

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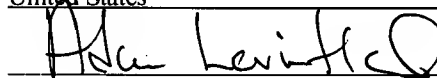
Post Office Address:

Same as above

Citizenship:

United States

Inventor's Signature:



Date: 2/27/98